

## **Safeguarding and Welfare Requirement: Information and Records**

Providers must maintain records and obtain and share information to ensure the safe and efficient management of the setting, and to help ensure the needs of all children are met.



### **10.4 Provider records**

#### **Policy statement**

We keep records and documentation for maintaining our business. These include:

- Records pertaining to our registration.
- Landlord/lease documents and other contractual documentation pertaining to amenities, services, and goods.
- Financial records pertaining to income and expenditure.
- Risk assessments.
- Employment records of our staff including their name, home address and telephone number.
- Names, addresses and telephone numbers of anyone else who is regularly in unsupervised contact with the children.

We consider our records as confidential based on the sensitivity of information, such as with employment records. These confidential records are maintained with regard to the framework of the General Data Protection Regulations (2018), further details are given in our Privacy Notice and the Human Rights Act (1998).

This policy and procedure should be read alongside our Privacy Notice, Confidentiality and Client Access to Records Policy and Information Sharing Policy.

#### **Procedures**

- All records are the responsibility of our management team who ensure they are kept securely.
- All our records are kept in an orderly way in files and filing is kept up to date.
- Our financial records are kept up to date for audit purposes.
- We maintain health and safety records; these include risk assessments, details of checks or inspections and guidance etc.
- Our Ofsted registration certificate is displayed.
- Our Public Liability insurance certificate is displayed.
- All our employment and staff records are kept securely and confidentially.

We notify Ofsted of any:

- change in the address of our premises.
  - change to our premises which may affect the space available to us or the quality of childcare we provide.
  - change to the name and address of our registered provider, or the provider’s contact information.
  - For group provision: change to the person managing our provision.
  - significant event which is likely to affect our suitability to look after children; or
  - other event as detailed in the *Statutory Framework for the Early Years Foundation Stage* (DfE 2024).
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- In the event of a pandemic, there may be the need to keep additional records as part of outbreak management therefore:

- . A central record of all confirmed associated cases that affect any member of staff or service user will be held. This record will not contain personal details about the individual (unless for a member of staff).
- . Records will be kept of individual cases of children/families who are, if necessary, self-isolating due to symptoms. In all cases the principles of data protection are maintained.

**Legal framework**

- Genera Data Protection Regulations (GDPR) (2018)
- Human Rights Act 1998
- Gov.uk

This policy was adopted by	Jolly Tots Preschool Nursery	(name of provider)
on	09.09.2024	(date)
Date to be reviewed	September 2025	(date)
Signed on behalf of the provider		
Name of signatory	Lee Davison	
Role of signatory (e.g., Chair, director, or owner)	Manager	

**Other useful Pre-school Learning Alliance publications**

- Accident Record (2013)
- Accounts Record (2015)
- Safeguarding Children (2013)
- Recruiting Early Years Staff (2016)
- People Management in the Early Years (2016)
- Financial Management (2010)
- Medication Administration Record (2015)
- Daily Register and Outings Record (2015)
- Managing Risk (2009)
- Complaint Investigation Record (2015)